RECOMMENDATION

1. THAT in its capacity as lead administrative partner for the Halton Healthy Community Fund, Regional Council ratify the allocation decisions made by the Halton Healthy Community Fund committee as set out in Attachment #1 to Report No. SS-39-09 re: “Halton Healthy Community Fund and Halton Region Community Investment Fund: 2009 Allocations.”

2. THAT Regional Council approve the 2009 funding recommendations of the Halton Region Community Investment Fund committee as identified in Attachment #3 to Report No. SS-39-09.

3. THAT the Commissioner of Social and Community Services be authorized to execute purchase of service agreements for successful Halton Region Community Investment Fund applicants and any ancillary documents in a form approved by the Director of Legal Services and upon the passage of a By-law for that purpose as outlined in Report No. SS-39-09.

4. THAT Regional Council approve the carry over of any unused funds annually of the Halton Healthy Community Fund and the Halton Community Funding Framework into the following year.

5. THAT a copy of Report No. SS-39-09 be circulated to local Mayors and municipal parks and recreation departments.

REPORT

Purpose

The purpose of this Report is to approve:
• Second and third year Healthy Community Fund (HHCF) allocations for 2009 and 2010 funded projects as the fund continues to be phased out.
• 2009 and 2010 Halton Region Community Investment Fund (HRCIF) recommendations brought forward from the Investment Fund Committee.

While the Community Investment Fund proposals are new this year, approvals are still required for the final phasing out of the Healthy Community Fund.

Background

Established in 1997, the HHCF was a partnership of 13 community funders, including Halton Region, who had pooled financial, human and administrative resources to support Halton’s healthy community goals. Report No. SS-61-08 re: “Transition of the Halton Healthy Community Fund” identified that a joint decision was made to phase out the HHCF and honour multi-year funding commitments to second and third year projects until 2010. The partners’ decision to transition the HHCF into a Halton Funders Network collaborative led Regional staff to establish a new framework of investment for Halton Region’s HHCF contribution. Staff committed to report jointly on the activities of both the HRCIF and the HHCF funding streams until the HHCF is completely phased out in 2010.

The Halton Healthy Community Fund-Second and Third Year Funding Decisions

Fund Capacity for 2009

The 2009 HHCF budget contains a total of $257,163, which has been contributed from the following sources:

• $165,759 from Halton Region’s 2009 approved Budget;
• $49,000 from Halton Region’s twelve funding partners;
• $42,404 in funds rolled over from 2008 plus interest

Six partners continue to participate in the HHCF committee and have committed to honour their contribution for 2009. The following table identifies current partners and their contribution.

<table>
<thead>
<tr>
<th>HHCF Partner</th>
<th>2009 Contribution ($)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Halton Region</td>
<td>165,759</td>
</tr>
<tr>
<td>Ministry of Community and Social Services/Ministry of Children and Youth Services</td>
<td>34,000</td>
</tr>
<tr>
<td>Town of Halton Hills</td>
<td>5,000</td>
</tr>
<tr>
<td>City of Burlington</td>
<td>4,000</td>
</tr>
<tr>
<td>Town of Oakville</td>
<td>3,000</td>
</tr>
<tr>
<td>Burlington Community Foundation</td>
<td>2,000</td>
</tr>
<tr>
<td>Town of Milton</td>
<td>1,000</td>
</tr>
</tbody>
</table>
Subtotal of Partner Contributions 49,000
Total Partner Contributions 214,759
Roll Over from 2008 42,404
Total HHCF 257,163

Second and Third Year Recommended HHCF Proposals

Eleven funding recipient agencies have submitted progress reports. All 11 achieved satisfactory outcomes towards enhancing healthy living, increasing personal and public safety, and improving service delivery. Total recommended funding is $255,163 (2009) and $23,290 (2010). Attachment #1 highlights these projects and provides a summary of their progress to date. In addition, the following table provides a breakdown of total funding for second and third year HHCF projects in 2009 and those approved in principle for 2010 (contingent upon successful outcomes and availability of funds).

Table 2: 2009 Halton Health Community Funding

<table>
<thead>
<tr>
<th>Year</th>
<th>2nd Year funding</th>
<th>3rd Year funding</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>#projects $</td>
<td>#projects $</td>
<td>#projects $</td>
</tr>
<tr>
<td>2009</td>
<td>6 117,870</td>
<td>5 137,293</td>
<td>11 255,163</td>
</tr>
<tr>
<td>2010 (Approved in Principle)</td>
<td>2 23,290</td>
<td>2 23,290</td>
<td>2 23,290</td>
</tr>
</tbody>
</table>

* 9 of the 11 projects will be receiving their last year of funding in 2009.

As the HHCF phase out continues and multi-year projects commitments are fulfilled, available dollars will be transferred for allocation to the Halton Region Community Investment Fund.

Halton Region Community Investment Fund: 2009 Funding Investment Decisions

As presented in Report No. SS-06-09 “Update on Area of Investment Three: Halton Region Community Investment Fund”, Council approved the new investment framework that integrated all available Regional funding into three areas of investment.

1. Network Strategic Initiatives: supporting Halton Funders Network initiatives to build the capacity of the non-profit and voluntary sector e.g., leadership training, board development and collaboration on the purchase of benefits.
2. Volunteerism and Social Planning: supporting volunteerism and social planning through the activities of Community Development Halton.
3. Halton Region Community Investment Fund: supporting organizations that align with Halton Region’s Health and Social Services Committee Strategic Directions through multi-year, stable funding. Also, projects are encouraged to be in alignment with the Community Plan of the Roundtable on the Non-Profit and Voluntary Sector.

Halton Region Community Investment Fund (area #3) annualized budget of $250,000 will enable Halton Region to provide financial support to some programs in a predictable and substantive manner.
As Council will recall, the Investment Fund Committee, charged with making the funding recommendations to Committee and Council, is comprised of senior management from across the Social and Community Services and Health Departments, and two members of Council from the Health and Social Services Committee. An internal staff review committee supports the Investment Fund Committee and is responsible for thoroughly reviewing applications and forwarding completed assessments and any ancillary information for each program being considered for funding.

**Overview of 2009 Application Process and Outcomes**

The application process consists of two steps. Step one includes the submission of a letter of intent which briefly outlines the organization, the program proposal amount, and demonstrated fit with Halton Region’s Strategic Priorities and Actions. The closing date for letters of intent was March 18th, 2009 (guidelines released February 2, 2009). Only applicants successful in their letter of intent were invited to submit a full application by April 1st, 2009.

Between February 2nd and March 18th, 2009 65 letters of intent from community agencies were received. Those agencies, who met the eligibility criteria, were invited to submit a full application. The eligibility criteria are outlined in the Fund Guidelines and are included as Attachment #2.

Of the 65 letters of intent submitted, 21 agencies were invited to submit a full application by the April 1st, 2009 deadline. Incomplete or late applications and those incongruent with the initial letter of intent did not proceed further. Of the 21 applications submitted, 15 were brought forward to the Staff Review Committee for a full review and assessment of program and organizational criteria, budget reasonability and alignment with strategic plans.

Application assessments and other ancillary information were then forwarded to the Investment Fund Committee for review, evaluation and prioritization. The Investment Fund Committee agreed that it would recommend eight programs for 2009 and 2010 for Council’s approval.

Attachment #3 provides a summary of the programs recommended. The table below highlights the number and dollar value of letters of intent/applications submitted, invited for full review and recommended in the 2009 process.

| Table 3: Amount and Dollar Value of Letters of Intent and Applications Submitted |
|-------------------------------|---------|----------|----------|
|                              | Submissions (#) | Dollar Value($) |
|                              |          | 2009     | 2010     |
| Letters of Intent Submitted  | 65       | 1,976,721| 2,328,312|
| Letters of Intent Invited for Full Application | 21 | 688,439 | 1,138,513 |
| Applications Forwarded to Staff Review Committee and HRCIF Committee for Full Review | 15 | 450,972 | 959,312 |
| Programs Recommended for HRCIF Funding | 8 | 247,725 | 390,725 |
| Total Amount Available in the |          | 250,000 | 392,469 |
While all 15 applications were able to demonstrate meeting Halton’s community needs, the Committee had to make the determination regarding which applications were able to create the most impact in moving forward the Strategic Directions and Actions. Given the Fund’s capacity of $250,000 (2009), the Committee further considered the proposals’ program and population impact, support from best practice and research, response to high need populations, financial viability e.g. access to other sources of funding revenue, promotion of collaboration, service integration and access to service.

Overall, three of the eight recommended programs have Region-wide impact; the remainder targeted specific municipalities, or neighbourhoods within municipalities. In terms of alignment with the Strategic Directions and Action all programs fit with Theme 3, Goal 1, of Halton’s Strategic Plan. A breakdown of Goal and Action alignment are as follows:

- 2 programs- Action c)
- 2 programs- Action e)
- 2 programs- Action f)
- 1 program- Action g)
- 1 program- Action h)

**Next Steps**

All successful applicants have been notified by telephone and in writing of their funding outcomes. Once approved, a purchase of service agreement will be executed by the Region of Halton that will include conditions for funding, time period, specific uses of the funding, evaluation expectations and reporting. The agreements will be managed by Social and Community Services or the Health Department depending on which area is already best aligned with the program. Just prior to the publication of this Report, unsuccessful applicants were notified by verbal and written communication. While no appeal process exists, unsuccessful applicants were provided with feedback on areas of improvement and other funding opportunities where they may exist.

Successful organizations will be required to submit annual evaluation requirements. Progress evaluation reports will measure Halton’s return on investment, whether the program is progressing successfully in achieving intended outcomes and budget. Multi-year funding is contingent upon the program demonstrating successful outcomes and availability of funds. Staff will provide Regional Council with annual updates on funded programs.

At this time, the dollars allocated for 2009 and 2010 have reached the Fund’s capacity. Given Fund’s limited capacity, it is anticipated that an invite for new proposals will not occur until the 2011 cycle.

Given that this was the first year of implementation for the HRCIF, an evaluation of the 2009 HRCIF allocation process will be conducted in the summer of 2009.

<table>
<thead>
<tr>
<th>HRCIF</th>
<th>Balance (surplus/deficit)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>2,275</td>
</tr>
</tbody>
</table>

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FINANCIAL/PROGRAM IMPLICATIONS

The total amount available for the HHCF and the Halton Community Funding Framework (includes three investment areas) in 2009 is $794,095. This total includes any interest and carry over from 2008 HHCF ($42,404), confirmed HHCF partner contributions ($49,000), current base budget of $452,691 and a $250,000 program change brought forward in the 2009 budget cycle.

As the HHCF winds down and multi-year project commitments are fulfilled available HHCF dollars ($142, 469 for 2010 and $23, 290 in 2011) will be transferred to the Halton Community Funding Framework, specifically for Area of Investment #3: Halton Region Community Investment Fund. Thereby, increasing the 2010, total dollars available for Area of Investment #3: HRCIF to $392, 469. The table below reflects the 2009 budget for the HHCF and the Halton Community Funding Framework.

Table 4: 2009 Budget: Halton Healthy Community Fund and the Halton Community Funding Framework

<table>
<thead>
<tr>
<th>Halton Healthy Community Fund</th>
<th>Halton Community Funding Framework</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Area of Investment #1: Strategic Network Initiatives</td>
</tr>
<tr>
<td>Current Annualize Budget</td>
<td>165,759</td>
</tr>
<tr>
<td>2009 Program Change</td>
<td></td>
</tr>
<tr>
<td>Reallocated Total Budget</td>
<td></td>
</tr>
<tr>
<td>2008 Carry Over, Interest and 2009 Partner Contributions</td>
<td>91,404</td>
</tr>
<tr>
<td>Total Available to Spend (2009)</td>
<td>257,163</td>
</tr>
</tbody>
</table>

RELATIONSHIP TO THE STRATEGIC PLAN

This Report supports Theme 3, Goal 1, Action g) of Council’s 2007-2010 Strategic Plan which directs staff to “In partnership with key community stakeholders continue to facilitate opportunities to fulfill recommendations within the Non-Profit and Voluntary Sector Community Plan.”
Conclusion

The Halton Community Investment Framework has already begun to see the outcomes in all three investment areas. For example, the Halton Funder’s Network, in partnership with the Region of Halton, collaborated to provide support to the sector in a number of strategic initiatives; specifically focussing on leadership, volunteerism and promoting opportunities for funding for the sector. In addition, Community Development Halton, with the financial support of Halton Region, has just released the 2009 Social Profile of Halton Region.

As the Healthy Community Fund continues to wind down, it is important to recognize its considerable contribution to a stronger, healthier Halton for the past 11 years. Through the new Community Investment Fund, Halton residents will continue to benefit. Through the provision of multi-year, stable funding, this new Fund seeks to build a stronger, more sustainable non-profit and voluntary sector. Although the resources currently invested in the new Community Investment Fund sets a solid foundation, it will be important to grow the fund in order to continue to narrow the gap between community needs and financial resources available to meet those needs.

Respectfully submitted,

Tanja Schlabitz
Director, Intervention and Business Services

Adelina Urbanski
Commissioner of Social and Community Services

Approved by

Pat Moyle
Chief Administrative Officer

If you have any questions on the content of this report, please contact:  
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Maggie Penca  Tel. # 7901